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WOOD, HERRON & EVANS, L.L.P.

OF COUNSEL

2700 CAREW TOWER 441 VINE STREET CINCINNATI, OHIO 45202-2917 TELEPHONE: \$13-241-2324 FACSIMILE: 513-421-7269 EMAIL: into@whepatanLcom PATENT, TRADEMARK, COPYRIGHT AND UNFAIR COMPETITION LAW AND RELATED LITIGATION

EDMUND P. WOOD TRUMAN A. HERRON EDWARD B. EVANS

January 21, 2003

TECHNICAL ADVISORS
HENRY D. MOORE, B.S.E.E.
G. PRABHAKAR REODY, M.S.CH.

FACSIMILE COVER SHEET

To: Examiner Jose II. Alcala

Assistant Commissioner for Patents

Washington, D.C. 20231

Fax: 703-872-9319

Enclosures:

Fax Cover Sheet containing Certificate of Facsimile Transmission Transmittal containing Certificate of Facsimile Transmission

Response After Final

From: Scott A. Stinebruner

Reg. No. 38,323

Re: U.S. Patent Application

09/924,711 Scrial No.

August 8, 2001 Filed:

Applicant:

Mark Kenneth Hoffineyer et al.

Art Unit: 2827

Confirmation No.: 3332

Our Ref:

IBM/145DV1

Pages: 8 (including cover sheet)

MESSAGE/COMMENTS **OFFICIAL**

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Judith L. Valk

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WOOD, HERRON & EVANS, L.L.P.

JOHN D. POFFENBERGER
BRUCE TITTEL
DONALD F. FREI
DAVID J. JOSEPHIC
DAVID J. JOSEPHIC
DAVID S. STALLARD
J. ROBERT CHAMBERS
GREGORY J. LUNN
KURT L. GROSSMAN
CLEMENT H. LUKEN, JR.
THOMAS J. BURGER
GREGORY F. AHRENS
WAYNE L. JACOBS
KURT A. SUMME
KEVIN G. ROONEY
KEITH R. HAUPT
THEODORE R. REMAKLUS
THOMAS W. HUMPHREY
SCOTT A. STINEBRUNGE
DAVID H. BRINKMAN

OF COUNSEL THOMAS W. FLYNN

2700 CAREW TOWER

441 VINE STREET

CINCINNATI, OHIO 45202-2917

TELEPHONE: 513-241-2324

FACSIMILE: 513-241-6234

EMAIL: info@whepatent.com

PATENT, TRADEMARK, COPYRIGHT AND UNFAIR COMPETITION LAW AND RELATED LITIGATION

EDMUND P. WOOD TRUMAN A. HERRON EDWARD B. EVANS

1923-1968 1935-1976 1936-1971

March 6, 2003

JOSEPH R. JORDAN C. RICHARD EBY

DAVID E. PRITCHARD
J. DWIGHT POFFENBERGER, JR.
BEVERLY A. LYMAN, PH.D.
KATHRYN E. SMITH
KRISTI L. DAVIDSON
P. ANDREW BLATT, PH.D.
DAVID E. JEFFERIES
WILLIAM R. ALLEN, PH.D.
JOHN P. DAVIS
DOUGLAS A. SCHOLER
BRETT A. SCHATZ
ALLISON A. DAVIDSON
MICHELLE D. NOBGE
DAVID W. DORTON
RONALD J. RICHTER, M.D.
SARAH OTTE GRABER

TECHNICAL ADVISORS HENRY M. LABODA, PH.D. LARRY D. MOORE, B.S.E.E. G. PRABHAKAR REDDY, M.S.CH.

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To:

Examiner Jose H. Alcala

Assistant Commissioner for Patents

Washington, D.C. 20231

Fax:

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Notice of Appeal

From: Scott A. Stinebruner

Reg. No. 38,323

Re:

U.S. Patent Application

Serial No.

09/924,711

Filed:

August 8, 2001

Applicant:

Mark Kenneth Hoffmeyer et al.

Art Unit:

2827

Confirmation No.: 3332

Our Ref:

IBM/145DV1

Pages: 3 (including cover sheet)

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edith L. Volk udith L. Volk

March 6, 2003

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JOSEPH R. JORDAN C. RICHARD EBY

DAVID E. PRITCHARD

J. DWIGHT POFFENBERGER, JR.
BEVERLY A. LYMAN, PH.D.
KATHRYN E. SMITH
KRISTI L. DAVIDSON
P. ANDREW BLATT, PH.D.

WOOD, HERRON & EVANS, L.L.P.

JOHN D. POFFENBERGER BRUCE TITTEL DONALD F. FREI DAVID J. JOSEPHIC DAVID S. STALLARD J. ROBERT CHAMBERS GREGORY J. LUNN KURT L. GROSSMAN CLEMENT D. LINEN ID KURT L. GROSSMAN
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THOMAS J. BURGER
GREGORY F. AHRENS
WAYNE L. JACOBS
KURT A. SUMME
KEVIN G. ROONEY
KEITH R. HAUPT
THEODORE R. REMAKLUS
THOMAS W. HUMPHREY
SCOTT A. STINEBRUNER
DAVID H. BRINKMAN

OF COUNSEL THOMAS W. FLYNN

2700 CAREW TOWER

441 VINE STREET

CINCINNATI, OHIO 45202-2917

TELEPHONE: 513-241-2324

FACSIMILE: 513-241-6234

EMAIL: info@whepatent.com

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EDMUND P. WOOD
TRUMAN A, HERRON

1923-1968FAX RECEIVED

EDWARD B. EVANS 1936-1971

P. ANDREW BLATT, PH.D.
DAVID E. JEFFERIES
WILLIAM R. ALLEN, PH.D.
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BRETT A. SCHATZ
ALLISON A. DAVIDSON
MICHELLE D. NOBBE

DAVID W. DORTON RONALD J. RICHTER, M.D. SARAH OTTE GRABER

MAK 6 2003 TECHNICAL ADVISORS HENRY M. LABODA, PH.D. LARRY D. MOORE, B.S.E.E. FACSIMILE COVER SHEET OLOGY CENTER 2880 PRABHAKAR REDDY, M.S.CH.

To: Examiner Jose H. Alcala

Assistant Commissioner for Patents

Washington, D.C. 20231

Fax: 703-872-9319

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Communication

Copy of Response After Final fax filed

on January 21, 2003

Copy of Fax Confirmation Sheet

From: Scott A. Stinebruner

Reg. No. 38,323

Re:

U.S. Patent Application

Serial No. Filed:

09/924,711 August 8, 2001

Applicant:

Mark Kenneth Hoffmeyer et al.

Art Unit:

2827

Confirmation No.: 3332

Our Ref:

IBM/145DV1

Pages: 9 (including cover sheet)

MESSAGE/COMMENTS **OFFICIAL**

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PATENT

IBM/145DV1 Confirmation No. 3332

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Mark Kenneth Hoffmeyer et al.

Art Unit: 2827

Serial No.: 09/924,711

Examiner: Jose H. Alcala

Filed:

August 8, 2001

Atty. Docket No.: IBM/145DV1

For:

PROCESSING OF CIRCUIT BOARDS WITH PROTECTIVE, ADHESIVE-LESS

COVERS ON AREA ARRAY BONDING SITES

Box AF

Assistant Commissioner for Patents

Washington, DC 20231

TRANSMITTAL

Sir:

We are transmitting herewith the attached:

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Please charge any additional fees or credit overpayment to Deposit Account No. 23-3000. A duplicate of this sheet is enclosed.

WOOD, HERRON & EVANS, L.L.P.

2700 Carew Tower

441 Vine Street

Cincinnati, Ohio 45202

(513) 241-2324

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I hereby certify that this correspondence and the enclosures noted herein (3 total pages) are being transmitted via facsimile transmission to Examiner Jose H. Alcala, Box AF, Assistant Commissioner for Patents, Washington, D.C. 20231 at 703-872-9319 on March 6, 2003.

March 6, 2003

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MAR 6 2003

TECHNOLOGY CENTER 2800

Page 1 of 1 Serial No. 09/924,711 IBM Docket ROC920000049L1 WH&E IBM/145DV1 Transmittal for Notice of Appeal

Scott X. Stinebruner Reg. No. 38,323

PATENT

IBM/145DV1 Confirmation No. 3332

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Mark Kenneth Hoffmeyer et al.

Art Unit: 2827

Serial No.: 09/924,711

Examiner: Jose H. Alcala

Filed:

August 8, 2001

Atty. Docket No.: IBM/145DV1

For:

PROCESSING OF CIRCUIT BOARDS WITH PROTECTIVE, ADHESIVE-LESS

COVERS ON AREA ARRAY BONDING SITES

COMMUNICATION

FAX RECEIVED

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MAR 6 2003

Assistant Commissioner for Patents Washington, DC 20231

TECHNOLOGY CENTER 2800

Sir:

This Communication is in response to a telephone conference between the undersigned and the Examiner on March 6, 2003. In the telephone conference, the Examiner indicated that the Response After Final filed on January 21, 2003 was not received by the Examiner. Accordingly, a copy of the Response After Final, dated January 21, 2003, as well as a copy of the fax confirmation sheet, is attached hereto.

If there are any questions regarding this paper, or which might otherwise further this case onto allowance, please contact the undersigned at (513) 241-2324. Moreover, if any other charges or credits are necessary to complete this communication, please apply them to Deposit Account 23-3000.

6 MARCH 2003

Respectfully submitted,

Scott A. Stinebruner

Reg. No. 38,323

WOOD, HERRON & EVANS, L.L.P.

2700 Carew Tower

441 Vine Street

Cincinnati, Ohio 45202

(513)241-2324

Page 1 of 1 Serial No. 09/924,711 IBM Docket ROC920000049L1 WH&E IBM/145DV1 Communication
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PATENT

IBM/145DV1 Confirmation No. 3332

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Mark Kenneth Hoffmeyer et al.

Art Unit: 2827

Serial No.: 09/924,711

Examiner: Jose H. Alcala

Filed:

August 8, 2001

Atty. Docket No.: IBM/145DV1

For:

PROCESSING OF CIRCUIT BOARDS WITH PROTECTIVE, ADHESIVE-

LESS COVERS ON AREA ARRAY BONDING SITES

FAX RECEIVED RESPONSE AFTER FINAL

Box AF Assistant Commissioner for Patents Washington, DC 20231

MAR 6 2003

TECHNOLOGY CENTER 2800

Sir:

This paper is submitted in reply to the Office Action dated November 6, 2002, within the three month period for response. Moreover, as no amendments are presented herein, Applicants respectfully submit that new issues are raised by this paper, and thus the remarks presented herein are appropriate for consideration by the Examiner after final. Reconsideration and allowance of all pending claims are therefore respectfully requested.

In the subject Office Action, claims 11-12, 19-21, 23-26, and 29-31 were rejected under 35 U.S.C. §102(b) as being anticipated by U.S. Patent No. 4,750,092 to Werther. Claims 22 and 27 were rejected under 35 U.S.C. §103(a) as being unpatentable over Werther in view of U.S. Patent No. 5,413,489 to Switky, while claims 28 and 32 were rejected under 35 U.S.C. §103(a) as being unpatentable over Werther.

Applicants respectfully traverse the Examiner's rejections to the extent they are maintained.

As an initial matter, Applicants wish to thank the Examiner for the consideration granted in a telephone interview conducted on January 15, 2003. In the interview, the distinctions between claims 11 and 19 and Werther were generally discussed. Moreover, Applicants respectfully submit that the arguments presented hereinafter, coupled with

> Page 1 of 5 Serial No. 09/924,711 IBM Docket ROC920000049L1 WH&E IBM/145DV1 Response After Final
> K-tibm/145DV1/After Final Response wpd

those presented during the telephonic interview, are sufficient to overcome the Examiner's rejections, and that the case is now in condition for allowance. However, if the Examiner does feel there are any additional issues that need to be addressed prior to the Examiner's next communication, the Examiner is encouraged to contact the undersigned at the telephone number provided below.

Now turning to the subject Office Action, and specifically to the rejection of independent claim 11, this claim recites an assembly comprising a circuit board, an area array bonding site on a surface of the circuit board, and a protective cover overlaying the bonding site. The protective cover is removably registered to the bonding site by a plurality of posts secured to one of the protective cover and the circuit board into a plurality of apertures disposed in the other of the protective cover and the circuit board. Moreover, the protective cover is non-conductive throughout at least a region thereof that overlays the bonding site.

As noted in the interview, and as described in the Application, the structure of claim 11 is used to protect sensitive area array bonding sites from contamination during manufacture, and prior to mounting of active surface mounted components such as integrated circuit (IC) packages. Consistent with the contamination-prevention nature of the claimed protective cover, the cover is non-conductive throughout the region of the bonding site that is being protected by the cover.

Werther, on the other hand, discloses a package structure that is utilized to interconnect a semiconductor chip to a circuit board. In particular, Fig. 1 of Werther discloses an injection molded pin grid array 30 having a "picture frame" array of conductive pins 31 that are used to electrically interconnect the semiconductor chip to a circuit board. The manner in which this is accomplished is via a conductive pattern 24 and an array of conductive pin recesses 21 formed in a base 20. A semiconductor chip is mounted to base 20, and the conductive pattern 24 includes traces that extend from specific I/O pads on the semiconductor chip to specific pin recesses 21. As a result, when pin grid array 30 is aligned over base 20, the conductive pins 31 in the array are received in the pin recesses 21, thus completing the electrical interconnection with the

Page 2 of 5 Scrial No. 09/924,711 IBM Docket ROC920000049LI WH&E IBM/145DVI Response After Final Kwanti45DVItAtter Final Response.wpd semiconductor chip. The pin grid array 30 and base 20 are thereafter ultrasonically welded to one another to complete the package. After welding, the opposite ends of the conductive pins 31 remain exposed for the purpose of electrically and mechanically connecting the package to a circuit board.

The Examiner apparently analogizes pin grid array 30 to a protective cover within the context of claim 11. However, while pin grid array 30 is formed of a molded, and presumably non-conductive material, the specific nature of this body in conducting electrical signals between a semiconductor chip and a circuit board in use precludes its utilization as a "protective cover" as is required by claim 11.

In particular, the Examiner will note that the structure on top of base 20, which the Examiner analogizes to a bonding site, comprises the conductive pattern 24, as well as the array of conductive pin recesses 21. This region extends nearly across the entire top surface of base 20. More importantly, however, the pin grid array 30 is <u>not</u> non-conductive throughout this entire region, given that the conductive pins 31 by necessity <u>must</u> overlap and align with their corresponding pin recesses 21 (which fall within the area of the bonding site).

As such, Werther does not disclose a "protective cover being non-conductive throughout at least a region thereof that overlays [a] bonding site", as is recited in claim 11 as is currently reads.

Furthermore, as discussed at col. 4, lines 50-54 of Werther, the pin grid array 30 of Werther is secured to base 20 via an adhesive or ultrasonic weld. Therefore, Werther also fails to disclose a protective cover that is "removably registered to [a] bonding site", as is also recited in claim 11.

Claim 11 is therefore novel over Werther, and the rejection thereof should therefore be withdrawn.

Claim 11 is also non-obvious over Werther, as there is no suggestion in the art to modify the pin grid array of Werther to either be (1) non-conductive throughout a region that overlaps a bonding site, or (2) removably registered to its matching base. Indeed, modifying the Werther assembly in this manner would destroy its intended functionality,

Page 3 of 5
Serial No. 09/924,711
IBM Docket ROC920000049L1
WH&E IBM/145DV1
Response After Final
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and render the modified assembly useless for its intended application. Were no conductive material provided in the region of pin grid array 30 that overlaps the bonding site on base 20, the semiconductor chip would effectively be incapable of receiving or transmitting electrical signals externally from the package within which it is housed. Moreover, permitting pin grid array 30 to be removed from base 20 would potentially introduce significant reliability issues for the package.

Furthermore, Werther is directed to an entirely different problem than that of protecting a bonding site from contamination during manufacture. As such, one of ordinary skill in the art would not look to Werther to provide contamination protection for a bonding site in the manner recited in claim 11.

Applicants therefore respectfully submit that claim 11 is also non-obvious over the prior art of record. Reconsideration and allowance of this claim, as well as of claims 12 and 23-29 which depend therefrom, are therefore respectfully requested.

Next, with respect to independent claim 19, this claim recites a cover for protecting an area array bonding site on a surface of a circuit board, where the circuit board has a plurality of apertures. The cover includes a base member having a first face and a second face, and shaped to at least correspond to the area array bonding site. The cover additionally includes a plurality of posts coupled to the first face and registered for the plurality of apertures. Moreover, as with claim 11, claim 19 recites that the base member is non-conductive throughout at least a region thereof that is configured to overlay the bonding site. Thus, this claim also highlights the principal nature of the cover as a temporary, protective device used during manufacture of a circuit board to protect a bonding site from contamination.

As noted above in connection with claim 11, Werther does not disclose or suggest a configuration whereby a protective cover, and in particular, a base member thereof, is non-conductive throughout a region that overlays a bonding site. Therefore, claim 19 is patentable over Werther for the same reasons as presented above for claim 11.

Reconsideration and allowance of this claim, as well as of claims 20-22 and 30-32 which depend therefrom, are respectfully requested.

Page 4 of 5 Serial No. 09/924,711 IBM Docket ROC920000049L1 WH&E IBM/145DV1 Response After Final KWimh/45DV1Mfr Fhail Response.wpd In summary, Applicants respectfully submit that all pending claims are novel and non-obvious over the prior art of record. Reconsideration and allowance of all pending claims are therefore respectfully requested. If the Examiner has any questions regarding the foregoing, or which might otherwise further this case onto allowance, the Examiner may contact the undersigned at (513) 241-2324. Moreover, if any other charges or credits are necessary to complete this communication, please apply them to Deposit Account 23-3000.

21 TAN 2003

Date

Respectfully submitted,

Scott A. Stinebruner Reg. No. 38,323

WOOD, HERRON & EVANS, L.L.P.

2700 Carew Tower

441 Vine Street

Cincinnati, Ohio 45202

(513)241-2324

Page 5 of 5 Serial No. 09/924,711 IBM Docket ROC920000049LI WH&E IBM/145DVI Response After Final K\lbm\145DVI\Mer Final Response.wpd